IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Appl. No.: 10/532,197 (U.S. National

Phase of PCT/US2003/033433) §371(c) Date: September 12, 2006

High Expression Locus Vector Based on Ferritin Heavy Chain

Gene Locus

Holly Prentice

Confirmation No.: 8404

Art Unit: 1636

Examiner: To Be Assigned

Atty. Docket: 2159.0580001/EKS/LMB

First Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.97(b)

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir

Listed on accompanying IDS Forms are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. This First Supplemental Information Disclosure Statement is a continuation of the Applicant's Information Disclosure Statement re-filed on September 12, 2006 in connection with the above-captioned application.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicant has listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

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Holly Prentice Appl. No. 10/532,197

(U.S. National Phase of PCT/US2003/033433)

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) before the mailing date of a first Office Action on the merits. No statement or fee is required.

The documents were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.

Copies of documents FP4 and NPL16-NPL18 are submitted.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

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Holly Prentice
Appl. No. 10/532,197
(U.S. National Phase of PCT/US2003/033433)

The U.S. Patent and Trademark Office is hereby authorized to charge any fee

deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Lon M. Brunden

Lori M. Brandes Agent for Applicant Registration No. 57,772

Date: 11/14/06

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FIRST SUPPLEMENTAL	Application Number	10/532,197 (U.S. Nat'l Phase of PCT/US2003/033433)	
INFORMATION DISCLOSURE	§371 Date	September 12, 2006	
STATEMENT BY APPLICANT	First Named Inventor	Holly Prentice	
(Use as many sheets as necessary)	Art Unit	1636	
(000 00 0000)	Examiner Name	To Be Assigned	
Sheet 1 of 1	Attorney Docket Number	2159.0580001/EKS/LMB	

U.S. PATENT DOCUMENTS						
Examiner Cite Initials No.	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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		Country Code ³ Number ⁴ Kind Code ³ (if known)	•		Relevant Passages or Relevant Figures Appear	T°
/D.G./	FP4	WO 02/097059 A2	12-05-2002	Chromos Molecular Systems, Inc.		
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Examiner /David Guzo/	Date Considered	03/29/2008
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Substitute for form 1449B/PTO				Con	Complete if Known	
FIRST S	UPPLE	MEN:	ΓAL	Application Number	10/532,197 (U.S. Nat'l Phase of PCT/US2003/033433)	
INFORM	TATION	DISC	CLOSURE	§371 Date	September 12, 2006	
STATEMENT BY APPLICANT				First Named Inventor	Holly Prentice	
(Use as many sheets as necessary)				Art Unit	1636	
(/		Examiner Name	To Be Assigned			
Sheet	1	of	1	Attorney Docket Number	2159.0580001/EKS/LMB	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
/D.G./	NPL16	European Search Report for Application No. 03777780.2-2401 PCT/US0333433 dated October 11, 2006, five (5) pages.	
/D.G./	NPL17	TORTI, et al., "The Molecular Cloning and Characterization of Murine Ferritin Heavy Chain, a Tumor Necrosis Factor-inducible Gene," The Journal of Biological Chemistry, Issue of September 5, 1988, pages 12638-12644, Volume 263, No. 25., The American Society for Biochemistry and Molecular Biology, Inc.	
/D.G./	NPL18	TSUII, et al., "FER-1, an Enhancer of the Ferritin H Gene and a Target of E1A-Mediated Transcriptional Repression," Molecular and Cellular Biology, September 1995, pages 5152-5164, Volume 15, No. 9., American Society for Microbiology.	
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